

## CITED BY APPLICANT

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
12 December 2002 (12.12.2002)

PCT

(10) International Publication Number  
WO 02/100062 A2(51) International Patent Classification<sup>7</sup>: H04L 29/00

CB4 5ES (GB). HAVERINEN, Henry [FI/FI]; Arkkitehdinkatu 15 A 3, FIN-33720 Tampere (FI).

(21) International Application Number: PCT/GB02/02557

(22) International Filing Date: 30 May 2002 (30.05.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0113902.1 7 June 2001 (07.06.2001) GB

(71) Applicant (for all designated States except US): NOKIA CORPORATION [FI/FI]; Keilalahdentie 4, Espoo, FIN-02150 Espoo (FI).

(72) Inventors; and

(75) Inventors/Applicants (for US only): EDNEY, Jonathan [GB/GB]; 31 High Street, Willingham, Cambridgeshire

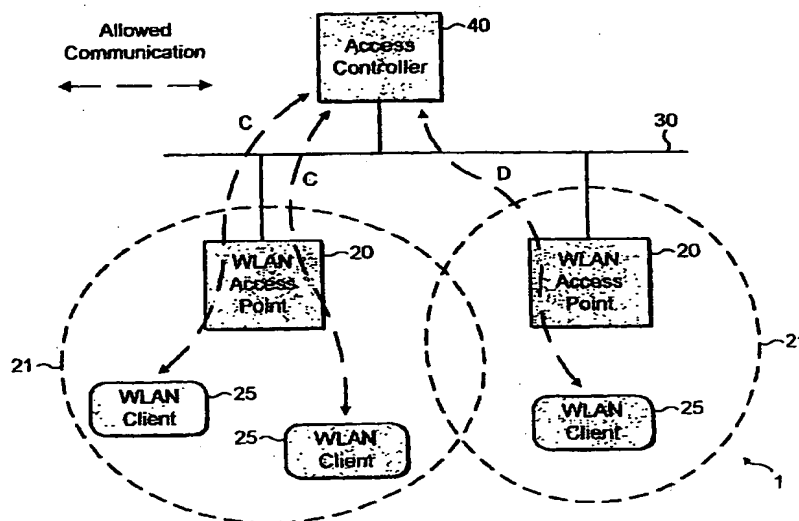
(74) Agents: JOHNSON, Ian et al.; Nokia IPR Department, Nokia House, Summit Avenue, Farnborough, Hampshire GU14 0NG (GB).

(81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,

[Continued on next page]

(54) Title: SECURITY IN AREA NETWORKS



(57) Abstract: The present invention provides an access point device arranged to receive data packets from one or more client devices and transmit them along an area network characterised wherein the access point device comprises security means arranged to configure the client data packets such that they are directed only to one or more permitted area network device(s).

BEST AVAILABLE COPY

WO 02/100062 A2

REF. 4 DOCKET PUO30083

CORRES. COUNTRY: PCT

COUNTRY: INNO03



GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent  
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,  
NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

**Published:**

— *without international search report and to be republished  
upon receipt of that report*

2/PP/TS.

JC05 Rec'd PCT/PTO 14 SEP 2005

10/549465

**SECURITY IN AREA NETWORKS**

5 The invention relates generally to the field of computer networks, and in particular to the field of area networks.

10 A computer network can be defined as a group of two or more computer systems linked together. In this definition, a computer system is taken to mean a complete working computer, including not only the computer, but also any software or peripheral devices that are necessary to make the computer function. For example, every computer system requires an operating system and printing devices are generally required to provide hard copies of computerised information.

15 Computer networks can be categorised in a number of ways, for example, in terms of topology (geometric arrangement of devices in a network e.g. bus, star and ring), media (the means by which devices are connected e.g. co-axial/fibre optic cables or radio waves), protocol (a common set of rules for sending data e.g. Ethernet), or architecture (peer/peer or client/server). It is possible that the protocols also determine whether the computer network uses peer/peer or client/server architecture and thus such simple categorisation often leads to a computer network falling into more than one category.

25 In addition to the above mentioned categories, computer networks can be grouped in terms of a geographical region over which the network is distributed. Such a categorisation leads to the category of Area Network, and includes Local Area Networks (LANs), Wide Area Networks (WANs) and Metropolitan Area Networks (MANs). In the case of LANs, the computers in the network are geographically close together, for example, in a single building or group of buildings. In the case of WANs, the computers are farther

30

apart and are connected by telephone lines or radio waves. One LAN can be connected to other LANs over any distance via telephone lines and/or radio waves to also provide a WAN. A MAN is a network designed for towns and cities.

5

The present invention relates to the field of area networks and includes all the above mentioned area networks. In addition, as such simple categorisation often leads to a computer network falling into more than one category, it is important to note that the present invention does not exclude applicability in  
10 networks which also fall into other network categories. Thus, the present invention relates to area networks regardless of the topology, media, protocol or architecture of the network. The present invention is also applicable to Wireless Local Area Networks (WLAN or LAWN), a sub-class of LANs which use high frequency radio waves rather than wires for communication between  
15 certain network devices.

Area networks have been designed to enable several computers to be connected together in order to share information and resources. The network protocols, operating systems and application software for the associated  
20 network computers have been designed on the assumption that it is intended that two or more computers on the same area network can share information and be notified of each others existence on the network. Such an arrangement is only suitable for situations in which users (clients) of the area network have business/personal relationships. However, there are  
25 opportunities to use the advantages provided by area networks, and LANs in particular, in situations where clients have no personal/business relationships (e.g. in a public area network). One example of such an application is an airport business lounge in which a LAN/WLAN may be provided to allow passengers (clients) to access the Internet, whilst at the same time preventing  
30 access to another passenger's computer connected to the same LAN/WLAN.

In such security sensitive applications, where clients are often new to a network and have no prior business or personal relation with each other or the network, it is desirable that communications, in the form of transmitted data packets, are maintained private (i.e. the isolation of one client's transmissions from another client) whilst still providing ease of network access to such users, ideally using commonly used communication protocols. Some degree of control also needs to be provided over client access to the network and the duration of that access.

10 Taking the access control requirement first, this can be achieved by mediating area networks transmissions by one or more controlling computers, commonly known as Access Controllers (ACs), designed to monitor and control network usage. These are provided at a position in the network architecture to receive client data packets without the data packets first travelling too far through the network. Such positions are architecturally immediately following access point devices, the access point devices being provided to allow client device access to the network. Thus, the effective functioning of ACs in present solutions is architecture dependent i.e. the prevention of transmissions bypassing the AC is by the architectural design of the network.

20

With regard to security, security efforts in existing LANs have resulted in area networks arranged to only allow transmission of data packets from network recognised client computers. This may be done by arranging either the access point device or the AC to consider a unique client device classifier (e.g. MAC address) or authorisation code (e.g. password) transmitted by the client device as part of the transmission data packet. However, such arrangements only check whether a client device is authorised to access the network and do not consider whether the device to which access is being requested is permitted. So, this solution, in isolation, does not necessarily

prevent someone who is authorised to use the network, or has altered their device so that it provides a client device classifier or authorisation code recognised by the network, from obtaining access to private areas of the network.

- 5 The aforementioned consideration of whether the client device is permitted access to the network also has other disadvantages. Generally speaking, communications between devices may be by means of unicast (between specific identified singular devices, "point to point"), multicast (between one or more devices and a set of specific identified devices) or broadcast (between a
- 10 single device and one or more non-specific devices) transmissions. Disadvantageously, the consideration of whether the client device is permitted access to the network does not prevent a multicast/broadcast transmission from a network recognised client device from permeating throughout the area network, and accordingly this has implications on client privacy. In addition, it
- 15 may be that a number of network recognised client devices are connected to the area network using the same access point device. It is currently possible for such client devices to communicate with each other by unicast/multicast/broadcast transmissions passing through the common access point device without the transmissions having to enter into the core of the area
- 20 network. Such transmission paths bypass network devices in the core of the network and thus inhibit control and monitoring of network access and usage. Client privacy may be also reduced, in particular, by the receipt of unsolicited multicast/broadcast transmissions from neighbouring client devices. Furthermore, as has been mentioned previously, the effective functioning of
- 25 ACs in present networks is architecture dependent. Therefore, unless the ACs are suitably positioned, it is also possible in current network arrangements for client devices connected to adjacent access point devices to communicate with one another using unicast/multicast/broadcast transmissions which bypass the AC.

The Internet Protocol version 4 (IPv4), in particular, is a widely used communications protocol which allows the use of Address Resolution Protocol (ARP) to resolve a target node's, for example a client or network device, link-layer address from its IP address. In fact, ARP does not operate over IPv4 but

5 ARP packets are special link-layer packets. In the normal use of ARP, a node, such as a client device, that needs to resolve the link-layer address that corresponds to a target IP address broadcasts an ARP Request. When the target node, such as an AC, recognises that its link-layer address is being queried with an ARP Request, it unicasts an ARP Reply to the sender of the

10 ARP Request.

A number of forms of ARP exist. A Gratuitous ARP is an ARP packet sent by a node in order to spontaneously cause other nodes to update an entry in their ARP table. It can be used for example if the link-layer address changes.

15 The ARP specification requires that any node receiving any ARP packet must update its local ARP table with the sender's IP and link-layer addresses in the ARP packet, if the receiving node has an entry for that IP address already in its ARP table. This requirement in the ARP protocol applies even for ARP Request packets, and for ARP Reply packets that do not match any ARP

20 Request transmitted by the receiving node. Another form of ARP is Proxy ARP in which a ARP Reply is sent by one node on behalf of another node which is either unable or unwilling to answer its own ARP Requests. The sender of a Proxy ARP supplies some configured link-layer address (generally, its own) as the target link-layer address. The node receiving the

25 Proxy ARP will then associate this link-layer address with the IP address of the original target node. A Reverse Address Resolution Protocol (RARP) can be used to resolve an IP address from a link-layer address.

Clients using a WLAN, in particular, need to be able to use ARP in order to send and receive unicast IP packets. However, ARP does not have any built-in security and free use by clients, would provide opportunities for a malicious client to disturb the operation of the access network. For example, a malicious  
5 client could alter the ARP tables of all the nodes on the network simply by broadcasting a false gratuitous ARP packet on behalf of the access router.

The present invention aims to address the previously mentioned shortfalls of the prior art.

10

Accordingly, in a first aspect, the invention provides an access point device arranged to receive data packets from one or more client devices and transmit them along an area network characterised wherein the access point device comprises security means arranged to configure the client data packets such  
15 that they are directed only to one or more permitted area network device(s).

20

Thus, the invention provides an access point device which regardless of the original destination of the data packet, ensures that data packets are re-directed to a permitted network device and away from a restricted network device which may include a client device. Accordingly, and unlike the prior art, the invention assures delivery to one or more specific permitted devices regardless of the position of the permitted network devices in the network, and thus the position of the permitted network devices in the network architecture is irrelevant. This assurance of delivery to specific network devices provides  
25 improved control and monitoring of access and usage of the network, as the bypass of these network devices is obviated. This is particularly important if clients are to be charged based area network usage. Another advantage is that the solution is provided solely in the access point device and thus client



devices do not require re-configuration. With the solution being provided only by an improved access point device, the present invention can be easily and cost effectively retro-actively fitted to existing area networks. The solution is also relatively simple and thus un-complicated.

5

Transmissions along the area network may include transmissions to/from/within the wired and/or wireless parts of the area network, including transmissions which do not significantly enter the wired part of the network e.g. in certain cases when two or more client devices are connected to the same access point device.

10

In certain cases, it may be simpler to completely regenerate a data packet for transmission along the network and discard the original client data packet. For example, in the case of a broadcast transmission from a client device being changed to a unicast transmission directed to the AC. However, the configuration is preferably conducted by substituting/inserting a unique classifier of a permitted area network device, such as the access controller MAC address or IP address, into the client data packet. As the classifier may be contained in any layer of the network communications protocol, the configuration of the data packet will be conducted in the appropriate layer and not be limited to any particular layer of the network protocol. Although the permitted area network device may be a network access controller it is, more generally speaking, a device which is not restricted from general access, and thus is a device to which all data packets can be safely channelled.

15

20

25

As an operational example, unicast transmissions, whether they are directed to a permitted network device or not, will be re-configured by the access point device to only go to the permitted device. Furthermore, the access point

device will also re-configure broadcast/multicast client data packets to a permitted network device and thus away from other client devices connected to the area network. Unwanted solicitation by neighbouring clients connected to the same, or different, access point devices will accordingly be prevented.

5

In one embodiment, the security means is configured to consider the destination of the client data packet, and arranged to only configure the data packet if it is directed to a restricted area of the network i.e. not to a permitted area network device. Thus, this embodiment avoids the un-necessary  
10 modification of a client data packet already directed to a permitted area network device.

The consideration of the destination of the data packet may simply comprise comparison with a list of classifiers for permitted network devices which are  
15 recorded onto the security means, and only if the client data packet contains a classifier of a permitted network device is the data packet not re-configured. The classifier is essentially the destination address of a permitted network device and may be the hardware address of the permitted network device (e.g. MAC address contained in the data link-layer of the communications  
20 protocol) or a corresponding software address for the permitted network device (e.g. contained in the network/applications layer of the communications protocol). Generally speaking, the permitted network device classifier may be in any of the layers of the communications protocol.

25 It would be preferable to configure the security means to also forward the original destination address (or addresses) of the data packet so that the data packet may be subsequently forwarded, for example, following authentication of the client device sending the transmission.

The network may be configured to use only one transmission form e.g. unicast transmissions. However, to deal with various data packet transmission forms, the access point device will preferably be configured to be able to  
5 differentiate between the different data packet forms and differentially modify the various data packet forms to be directed to a permitted network device. For example, it will be possible with this embodiment to identify whether the data packet is of a unicast, multicast, or broadcast transmission form, or even  
10 what particular form of unicast, multicast, or broadcast transmission (e.g. gratuitous ARP), and accordingly differentially modify the data packets for onward transmission to a permitted network device.

The differentiation of data packets can be conveniently conducted by the comparison of client data packet data fields, or parts of data fields, with those  
15 of network permissible transmission forms and configuring the access point device to make appropriate modifications to the client data packet to direct the transmission form to a permitted network device. The data fields may be contained in any layer of the network communications protocol and may include the link-layer header, the IP header, and the transport protocol  
20 headers (UDP and TCP).

As a unicast data packet may require a different change in configuration for onward transmission than a multicast/broadcast data packet, the access point device would preferably be arranged to analyse these differing transmission  
25 forms and adapt each of these differing forms to provide data packets to the network with the same construction and overall data packet length. In this case, network protocols can remain within industry standards. However, the client data packets may be modified by the insertion of data fields into the data packet. In this case, the data packet will have an increased length and

may also have a different construction and thus result in the use of a non-standard network protocol. In such a case, the access point device may be provided with means to configure data packets from the area network specific protocol format into an industry standard protocol, and vice versa. In this way,  
5 client devices can still operate using an industry standard protocol.

Preferably, the client data packet received by the access point device comprises protocol fields conforming to a standard protocol and wherein the security means is arranged to alter the content of one or more of the protocol  
10 fields to produce a modified client data packet which still conforms to a standard protocol. The client data packet received by the access point device and modified client data packet may conform to the same standard protocol. The client data packet received by the access point device and modified client data packet may conform to different standard protocols.

15

In the case where the area network uses an industry standard protocol, increased security may be provided by configuring the access point device to accept a non-standard protocol for transmissions between the client device and the access point device, and also by providing the access point device  
20 with means to configure the non-standard protocol client device transmissions into industry standard protocol transmissions for the area network, and vice versa. In this way, apart from the access point device, the remaining area network devices can be within the scope of industry standards. Of course, the client device would need to be provided with the non-standard protocol, which  
25 may be provided in the form of hardware, software or a combination thereof. For example, a client may purchase a PCMCIA card, containing the non-standard protocol, for insertion into their device. If the PCMCIA card allows usage of the area network for a pre-determined time, or at least, is one which monitors the client usage of the network, control and monitoring can be

provided over both which clients are authorised to use the area network, and the duration of that usage. Filter means provided in the access point device or the AC may also be modified to analyse whether the client device transmissions are from a client device using an authorised PCMCIA card.

5

In the case where the access point device is able to differentiate between different data packet transmission forms, the access point device may be advantageously configured to selectively modify certain data packets for onward transmission, and selectively exclude other data packets from network  
10 transmission. Thus, this embodiment will be able to, for example, identify a broadcast transmission and exclude it from network transmission, and identify a unicast transmission directed to a restricted network device and configure this data packet so that it is directed to a permitted network device. For example, a Dynamic Host Configuration Protocol (DHCP) data packet can be  
15 recognised by observing that the data packet is an IP packet that encapsulates UDP and the destination port field contains the value 67, and thus data packets recognised as using this protocol may be permitted access to the network. Whether to permit or deny access to the network for certain forms of transmissions will be based on local policy considerations which  
20 includes selection based on which client device originated the data packet. Thus, it will be possible to exclude all or some transmissions forms from a particular device.

In a modified embodiment, the access point device may be configured to send  
25 a reply data packet back to the client device in response to a particular form of data packet transmission from the client device and/or in response to a data packet destined for a non-permitted (restricted) network device. For example, the access point device may send a Proxy ARP reply transmission, using an appropriate target link-layer address, back to client device which originated a

ARP Request transmission, and at the same time not forward the ARP Request into the network. As the target link-layer address would correspond to a permitted network device, further client transmissions should be unicast transmissions directed to the permitted network device having the appropriate link-layer address. Alternatively, the access point device may be configured to simply send a reply data packet informing the client that such forms of transmission are not permitted, or are restricted, on the area network.

The access point device may be arranged to reply on behalf of a network device, preferably a restricted network device. The access point device may comprise security means arranged to provide mapping information of one or more permitted network devices to a client device in response to a client data packet concerning a restricted network device.

Client data packets concerning a restricted network device are not limited to client data packets specifically addressed to one or more restricted devices but may include client data packets which would be received by a restricted network device (and possibly also permitted network devices) e.g. a broadcast transmission in the case of an ARP request.

20

Specifically, the security means may be arranged to send an ARP transmission back to a client device in response to a data packet destined for a restricted network device.

The access point device may comprise security means arranged to send a proxy ARP Reply transmission back to a client device in response to an ARP request from the client device, said Proxy ARP Reply containing the link-layer

address of one or more permitted network devices. The link-layer address may be the MAC address.

Preferably, the security means is configured to accept a unicast ARP Reply  
5 from a client device in response to an authorised ARP Request.

In another embodiment, the access point device may be configured to seek permission from a client device as to whether the access point device is to forward a particular transmission form, and in the positive case, the access  
10 point device is configured to forward such transmissions. The seeking of permission may also be for authorisation to transmit a transmission from a particular client device. Thus, the access point device can adapt its local privacy policy based on the wishes of the client. The seeking of permission and/or the forwarding of the transmission may be carried out by a permitted  
15 network device, such as an AC.

In another embodiment, the security means is configured to consider a characteristic of the data packet and based on the characteristic configure the data packet to be directed to a particular permitted area network device. Thus,  
20 this embodiment would differentially modify the data packet destination based on a characteristic of the data packet. Such a characteristic includes a unique classifier for each of the client devices (e.g. MAC address), so that all transmissions from a particular client device are directed to a specific permitted network device. In this version, it is possible to direct all information  
25 about usage by a particular client device to one specific location, without this information having to be subsequently collated from a number of different permitted network devices.

In a further embodiment, the security means is arranged to monitor the volume of transmissions sent to a particular permitted network device within a particular time and re-direct data packets to a different permitted network device according to the volume of transmissions sent to the particular permitted network device within the time. Such an arrangement allows resource sharing throughout the network so that particular permitted network devices are not overburdened or under-utilised. The access point devices may also be arranged to communicate with one another, and/or the permitted network devices, to determine optimum resource sharing.

10

In another aspect, the invention provides an access point device arranged to reply on behalf of a network device, preferably a restricted network device. Preferably, the invention provides an access point device arranged to receive data packets from one or more client devices and transmit them along an area network characterised wherein the access point device comprises security means arranged to provide mapping information of one or more permitted network devices to a client device in response to a client data packet concerning a restricted network device.

As mentioned previously, client data packets concerning a restricted network device are not limited to client data packets specifically addressed to one or more restricted devices but may include client data packets which would be received by a restricted network device e.g. a broadcast transmission.

In one embodiment, the security means is arranged to send an ARP transmission back to a client device in response to a data packet destined for a restricted network device.



Preferably, the security means is arranged to send a proxy ARP Reply transmission back to a client device in response to an ARP request from the client device, said Proxy ARP Reply containing the link-layer address of one or more permitted network devices. The link-layer address may be the MAC address.

Preferably, the security means is configured to accept a unicast ARP Reply from a client device in response to an authorised ARP Request.

- 10 The invention may be implemented by hardware, software or a combination thereof. In addition, it may be used in combination with some or all of the aforementioned prior art solutions. For example, the access point device may be configured to comprise authentication means to initially authenticate client devices, by password entry and/or checking the client device classifier  
15 contained in the data packet. If the authentication means identifies that the data packet, or more generally the client device, is recognised by the network (i.e. the client device is permitted access to the area network), the data packet is forwarded to the security means.
- 20 The invention also encompasses all corresponding methods of providing security to an area network, and area networks comprising the access point devices. All combinations of the aforementioned and subsequently mentioned embodiments of the invention are also within the scope of the invention.
- 25 Specific embodiments of the present invention will now be described with reference to the following figures in which :

Figure 1 is a schematic illustration of a portion of a WLAN showing client devices interfacing with the WLAN and showing the closed transmission paths between client devices and the WLAN portion according to the present invention; and

5

Figure 2 is a schematic illustration of the arrangement of Figure 1 showing the secure permitted transmission paths between client devices and the WLAN portion according to the present invention.

- 10 A portion 1 of a typical WLAN is shown in Figures 1 and 2. The WLAN comprises a number of access point devices 20 each having a coverage area 21 over which they can send/receive transmissions to/from one or more client devices 25 (such as laptop computers). There is overlap of adjacent coverage areas 21, and the area network is configured such that one client device 25  
15 can roam and move to an adjacent coverage area 21 without being disconnected from the area network.

The access point devices 20 are each connected to a common transmission line 30. Access controllers 40, designed to control access to the network, are  
20 connected to the transmission line 30 at various locations along the length of the transmission line 30. They essentially act as a gateway to the rest of the area network (not shown) by sending/receiving transmissions to/from client devices 25 along transmission line 30. They are also used to configure the network to allow roaming of client devices 25 between adjacent access points  
25 devices 20.

It is currently possible for client devices 25 connected to the same access point device 20 to communicate with one another (Figure 1, path A) through

17

RECEIVING ✓  
 -kans X  
 RECEIVING X  
 det ✓ sel ✓

the common access point 25 without the transmissions being intercepted by the access controller 40. Such communications may be by unicast, multicast, or broadcast transmissions. It is also possible for client devices 25 connected to adjacent access point devices 20 to communicate with one another (Figure 1, paths B), again by unicast, multicast, or broadcast transmissions, without the transmissions being intercepted by the access controller 40. For example, in the case of a ARP broadcast, a data packet is broadcast to all devices 25 connected to the WLAN. The data packet contains the IP address the sender is interested in communicating with. Most devices 25 ignore the data packet. However, the target device 25, recognises the IP address in the data packet with its own, and returns an answer.

The aim of the invention is to restrict communications to only permitted network devices, and in particular, to restrict those transmissions sent along communication paths A and B (Figure 1). Certain restrictions may also be applied to transmissions along communication paths C, D (Figure 2), between a client device 25 and the access controller 40.

The access point devices 20 are arranged to receive data packets from one or more client devices 25 and transmit them along the area network. The access point device 20 is further arranged to configure the client data packets such that they are directed only to one or more permitted area network device(s), in this case access controllers 40. One way of doing this is to add the destination address of the access controller 40 to the data packet. Another way, is to replace the destination address in the data packet with the destination address of the access controller 40. The latter method would be particularly useful for re-directing unicast transmissions, directed to a network restricted device, to a permitted network device and is the preferred method of implementing the invention, particularly in combination with an access point

device 20 further arranged to consider the transmission form of an incoming client data packet. Thus, if the data packet is a unicast transmission directed to a permitted access controller 40, the access point device 20 is arranged to forward the client data packet without re-configuration. However, if the data packet is not a unicast transmission form or is a unicast transmission to a network restricted device, then the access point device 20 is configured to restrict access of such transmissions based on local policy considerations e.g. in certain cases it may be that a broadcast transmission is allowed from an authorised client device 25.

10

To determine whether the data packet is destined for a network permitted access controller 40, the access point device 20 comprises a list of address for network permitted devices (e.g. IP address or MAC address), which comprises the addresses of a number of permitted access controllers 40. This information may change periodically, and therefore the access point device 20 is configured to be able to update this information. Such an access point device 20 may be modified to also comprise a list of client device MAC addresses corresponding to client devices 25 which are authorised to access the network i.e. they are recognised by the network. This information would also change periodically and thus the access point device 20 is further configured such that this list may be periodically updated.

In the operation of such a modified device, the access point device 20 will initially consider whether the data packet contains the MAC address of a network recognised client device 25. If the data packet is from a network recognised client device, the access point device 20 further considers the destination of the data packet. Then, if the data packet is of the correct form (e.g. unicast) and is also directed to a permitted access controller 40, the data packet is forwarded to the access controller 40.

In one version which differentiates between different data packet transmission forms, the access point device 20 also comprises a list of a number of data fields against which the client data packets are to be compared. The data fields would include MAC multicast address, protocol header offset position, protocol header mask bytes and protocol header match byte. In such a case, the access point device 20 is configured to cross check these fields with those in a received data packet, and based on the analysis determine what to do with the data packet. For example, by analysing the different data packet fields, the access point device 20 can be configured to differentiate between broadcast, multicast and DHCP data packets and accordingly discard all broadcast and multicast transmissions by default, but forward DHCP packets to a local DHCP server.

This consideration of the form of the client data packet and also the original destination of the data packet can be developed to produce further embodiments. This includes configuring the access point device 20 so that it is able to differentially modify client device data packets for onward network transmission based on the form and destination of the original data packet. So, for example, the access point device 20 will be able to re-configure, and thus re-direct, a unicast data packet directed to a network restricted access controller 40, or to another client device 25, to a permitted access controller 40, and also be able to restrict network access generally for a broadcast transmission.

25

The modification of data packets may even take the form of generating a unicast transmission from a broadcast transmission. For example, in the case of an ARP broadcast from a client device 25, the access point device 20 is arranged to re-configure the data packet so that it includes the IP address (or

MAC address) of the access controller 40. The transmission is thus directed only to the permitted access controller 40 and is not generally broadcast throughout the area network. In essence, the access point device 20 configures the broadcast transmission into a unicast transmission. In certain cases, the access controller 40 can be configured to consider whether the ARP broadcast was sent from an authorised client device 25, and in such a case, forward the broadcast transmission throughout the area network. The access controller 40 would, of course, be required to remove the access controller address from the data packet prior to the transmission of the data packet throughout the area network.

In certain embodiments, the access point device 20 is configured to send a proxy ARP transmission back to the client device 25 in response to an ARP request from the client device 25. In this way, the access point device 20 replies on behalf of another device i.e. on behalf of the device which the client device 25 was intending to communicate with. In one example of such an arrangement, the access point device 20 is configured to not forward any broadcast ARP packets received over the WLAN interface. Instead, the access point device 20 discards all received broadcast ARP packets but sends a proxy reply to received ARP Requests using an appropriate target link-layer address of an appropriate router, which in this case is that of the access controller 40. This allows the clients devices 25 to safely resolve target link-layer addresses.

The routers and other servers on the wired part of the WLAN also need to resolve target link-layer addresses of WLAN client devices 25. This is also conveniently done by using ARPing. In this case, the access point device 20 is configured to forward these ARP Requests to the clients devices 25. Since the clients send the corresponding ARP Replies by unicast transmission to an

allowed link-layer address, the access point device 20 forwards them just like any other unicast data packets. However, yet better security can be achieved by only allowing the client devices 25 to send unicast ARP Replies in response to ARP Requests. This prevents malicious users from sending  
5 unsolicited false unicast ARP Replies (e.g. a gratuitous ARP) to the local routers and servers in order to modify their ARP tables.

In another embodiment, the access point device 20 is configured to be able to send data packets to a number of different access controllers 40, and is  
10 configured to determine which access controller 40 the data packet should be sent based on local policy considerations such as resource sharing or billing requirements. For example, the access point device 20 may be configured to monitor the number of transmissions sent to a particular access controller 40, and if it is considered that the access controller 40 is over-burdened, then the  
15 access point device 20 is configured to re-direct transmissions to a different access controller 40. The access point device 20 may also be configured to consider the MAC address contained in a client data packet, and forward all transmissions containing this MAC address to one particular access controller 40.

20

In summary, the invention provides a means by which authorised communication can be maintained between client devices 25 and one or more permitted network devices (such as the access controller 40) whilst direct communication between the client devices 25 is effectively blocked. If data  
25 does not bypass the permitted network devices and is always transferred to them, then accurate billing mechanisms can be provided to keep track of account usage. Increased freedom in the design of network architecture is also enabled by all network transmission being specifically directed to permitted areas of the network. It should be noted that the present invention

provides a solution which is based on the destination of data packets. This is contrary to prior art teachings which have considered the source of data packets. In addition, the present invention conveniently and simply addresses the numerous security problems with the disparate prior art solutions.



**CLAIMS**

1. An access point device arranged to receive data packets from one or more client devices and transmit them along an area network characterised  
5 wherein the access point device comprises security means arranged to configure the client data packets such that they are directed only to one or more permitted area network device(s).
2. The access point according to claim 1, wherein the security means is  
10 arranged to completely regenerate a data packet for transmission along the network and include a unique classifier of the permitted area network device into the regenerated data packet.
3. The access point according to claim 1, wherein the security means is  
15 arranged to substitute/insert a unique classifier of a permitted area network device, such as a permitted area network device MAC address or IP address, into the client data packet.
4. The access point device according to any one of the preceding claims,  
20 wherein the security means is configured to consider the destination of the client data packet, and arranged to only configure the data packet if it is directed to a restricted network device.
5. The access point device according to claim 4, wherein the security  
25 means comprises a list of classifiers for permitted network devices and the security means is arranged to compare the destination of client data packets with the list, and re-configure the client data packet for onward transmission to

a permitted device if the client data packet contains a classifier of a restricted network device.

6. The access point device according to any of the preceding claims,  
5 wherein the security means is arranged to also forward the original destination address, or addresses, of the data packet so that the data packet may be subsequently forwarded.

7. The access point device according to any of the preceding claims,  
10 wherein the security means is configured to differentiate between the different data packet forms and differentially modify the various data packet forms to be directed to a permitted network device.

8. The access point device according to claim 7, wherein the security  
15 means is arranged to differentiate between data packet transmission forms by comparison of client data packet data fields, or parts of data fields, with those of network permissible transmission forms recorded on the security means, and wherein the security means is further configured to make appropriate modifications to the client data packet to direct the transmission form to a  
20 permitted network device.

9. The access point device according to claim 8, wherein the security  
means is arranged to analyse the differing transmission forms and adapt each of these differing forms to provide data packets to the network with the same  
25 construction and overall data packet length.

10. The access point device according to claims 1 to 9, wherein the security means is arranged to modify the client data packet by the insertion of data fields into the data packet.
- 5 11. The access point device according to claim 10, wherein the security means is arranged to configure data packets using an industry standard protocol into a network specific protocol, and vice versa.
- 10 12. The access point device according to any claim dependent on claim 7, wherein the security means is arranged to selectively modify certain data packets for onward transmission, and selectively exclude other data packets from network transmission.
- 15 13. The access point device according to claim 12, wherein the security means is configured to send a reply data packet back to the client device in response to a particular form of data packet transmission from the client device and/or in response to a data packet destined for a restricted network device.
- 20 14. The access point device according to claim 12, wherein the security means is configured to send, in response to identifying a particular transmission form, a reply data packet informing the client that the particular transmission form is not permitted, or is restricted, on the area network.
- 25 15. The access point device according to any claim dependent on claim 7, wherein the security means is configured to seek permission form a client device as to whether the access point device is to forward a particular

transmission form, and in the positive case, the access point device is configured to forward such transmissions.

16. The access point device according to claim 1, wherein the access point  
5 device is arranged to reply on behalf of a network device.

17. The access point device according to claim 16, wherein the network  
device is a restricted network device.

10 18. An access point device according to any preceding claim, wherein the  
access point device comprises security means arranged to provide mapping  
information of one or more permitted network devices to a client device in  
response to a client data packet concerning a restricted network device.

15 19. The access point device according to claim 18, wherein the security  
means is arranged to send an ARP transmission back to a client device in  
response to a data packet destined for a restricted network device.

20 20. The access point device according to claim 18 or claim 19, wherein the  
security means is arranged to send a proxy ARP Reply transmission back to a  
client device in response to an ARP request from the client device, said Proxy  
ARP Reply containing the link-layer address of one or more permitted network  
devices.

25 21. The access point device according to claim 20, wherein the link-layer  
address is the MAC address.

22. The access point device according to any preceding claim, wherein the security means is configured to accept a unicast ARP Reply from a client device in response to an authorised ARP Request.

5

23. The access point device according to any of the preceding claims, wherein the security means is configured to consider a characteristic of the data packet and based on the characteristic configure the data packet to be directed to a particular permitted area network device.

10

24. The access point device according to any of the preceding claims, wherein the security means is arranged to monitor the volume of transmissions sent to a particular permitted network device within a particular time and re-direct data packets to a different permitted network device according to the volume of transmissions sent to the particular permitted network device within the time.

15

25. The access point device according to claim 1, wherein the client data packet received by the access point device comprises protocol fields conforming to a standard protocol and wherein the security means is arranged to alter the content of one or more of the protocol fields to produce a modified client data packet which still conforms to a standard protocol.

20

26. The access point device according to claim 25, wherein the client data packet received by the access point device and modified client data packet conform to the same standard protocol.

25

27. The access point device according to claim 25, wherein the client data packet received by the access point device and modified client data packet conform to different standard protocols.

5 28. A method of providing security to an area network, comprising arranging to receive data packets from one or more client devices and transmitting them along an area network characterised wherein the client data packets are configured such that they are directed only to one or more permitted area network device(s).

10

29. An access point device arranged to receive data packets from one or more client devices and transmit them along an area network, characterised wherein the access point device is arranged to reply on behalf of a network device.

15

30. An access point device according to claim 29, wherein the network device is a restricted network device.

20

31. An access point device according to claim 30, wherein the access point device comprises security means arranged to provide mapping information of one or more permitted network devices to a client device in response to a client data packet concerning a restricted network device.

25

32. The access point device according to claim 31, wherein the security means is arranged to send an ARP transmission back to a client device in response to a data packet destined for a restricted network device.

33. An access point device according to claim 31 or claim 32, wherein the security means is arranged to send a proxy ARP Reply transmission back to a client device in response to an ARP request from the client device, said Proxy ARP Reply containing the link-layer address of one or more permitted network devices.

34. An access point device according to claim 33, wherein the link-layer address is the MAC address.

10 35. The access point device according to any preceding claim, wherein the security means is configured to accept a unicast ARP Reply from a client device in response to an authorised ARP Request.

36. An area network comprising the access point device as claimed in any of the preceding claims.

37. A public area network comprising the access point device as claimed in any of the preceding claims.

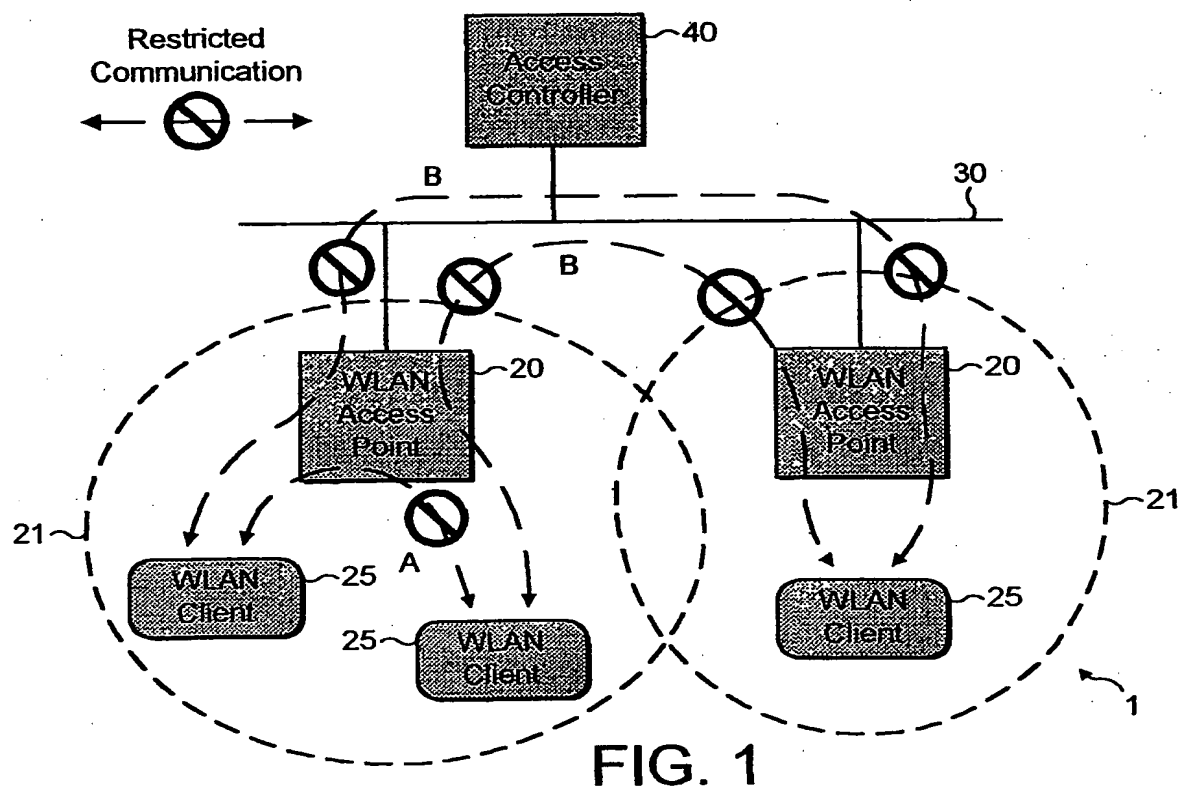
20 38. An access point device according to any preceding claim, wherein the area network is a public area network.

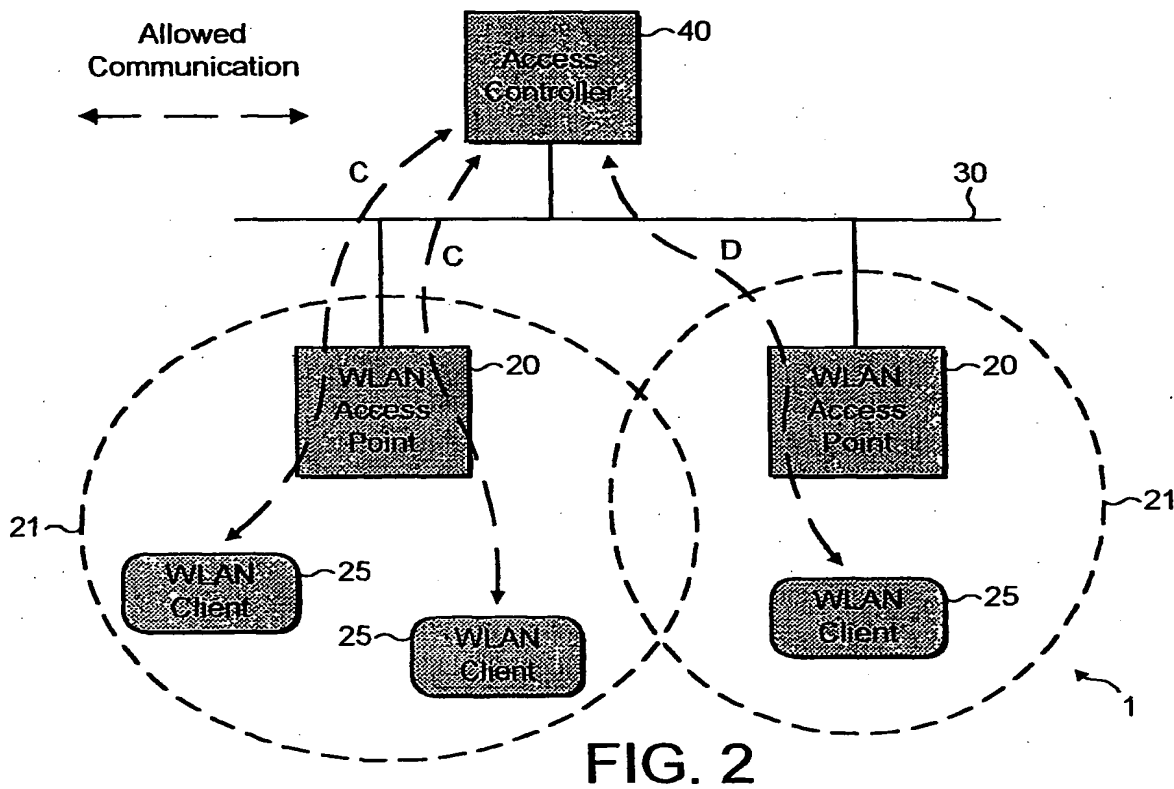
39. An access point device as hereinbefore described and with reference to the accompanying drawings.

40. An area network as hereinbefore described and with reference to the accompanying drawings.

41. A method of providing security to an area network as hereinbefore  
5 described and with reference to the accompanying drawings.







This Page is inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images problems checked, please do not report the problems to the IFW Image Problem Mailbox**